<u>**UNIFORM COMMERCIAL CODE (UCC) BULK ORDER FILE LAYOUTS**</u> **(Rev. 1/10/2022)**

<u>**Bulk Order Types**</u>

The bulk order types listed below will use the format detailed in the following sections:

1.   Daily Filing Update/Replacement (Subscription)
2.   Daily Filing Update/Replacement (One-Time Request)
3.   Master Unload (New Master Unload)
4.   Master Unload (Previous Master Unload)
5.   File Layout For Daily Filing Update/Replacement
6.   File Layout For Master Unload

For bulk orders of types 1 through 4, the file format is detailed in the following sections.

<u>**Bulk Order file generation and file formats**</u>

For bulk orders of type 1 and 2, a file is generated on a daily basis. Files are put in a specified directory on the server and are made available to clients with active subscriptions or active bulk orders (in case of type 2). For bulk orders of type 3 and 4, a file is generated on the first Saturday of each month in the same directory as for types 1 and 2. The file name format is detailed below.

Start Position	End Position	Length	Type	Description
1	1	1	Character	Division Code (U = UCC, C = Corp)
2	2	1	Character	Type of bulk order (D = Daily, M = Master, F = File Layout)
3	8	6	Character	Date of creation of file (DDMMYY)

The files generated are text files and contain record codes at the start position to indicate the type of record. These record codes are:

Record Code 1. Master Record
Record Code 2. Organization Debtor File
Record Code 3. Individual Debtor File
Record Code 4. Organization Secured Party File
Record Code 5. Individual Secured Party File
Record Code 6. Amendment File
Record Code 7. Collateral Data
Record Code 9. Trailer Record

Each record has a length of 506 characters and all the fields contained within are fixed-width strings with space filled on the right to make up for the assigned width. The following tables describe the record code formats:

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Record Code 1 - Master Record

Start Position	End Position	Length	Description
1	1	1	Record Code = 1
2	13	12	Initial Financing Statement Filing Number
14	14	1	Filing Type **(Appendix A)**
15	15	1	Filing Status (A = Active, L = Lapsed)
16	23	8	Expiration Date (YYYYMMDD)
24	27	4	Total Page Count for Filing
28	47	20	Document Number of Initial Financing Statement Filing Number
48	506	459	Filler

Record Code 2 - Organization Debtor File

Start Position	End Position	Length	Description
1	1	1	Record Code = 2
2	13	12	Initial Financing Statement Filing Number
14	313	300	Organization Debtor Name
314	423	110	Organization Debtor Street Address
424	487	64	Organization Debtor City
488	489	2	Organization Debtor State
490	497	8	Organization Debtor Zip Code
498	503	6	Organization Debtor Zip Code Extension
504	506	3	Organization Debtor Country Code

Record Code 3 – Individual Debtor File

Start Position	End Position	Length	Description
1	1	1	Record Code = 3
2	13	12	Initial Financing Statement Filing Number
14	63	50	Individual Debtor Last Name
64	113	50	Individual Debtor First Name
114	163	50	Individual Debtor Middle Name
164	169	6	Individual Debtor Suffix
170	279	110	Individual Debtor Street Address
280	343	64	Individual Debtor City
344	345	2	Individual Debtor State
346	353	8	Individual Debtor Zip Code
354	359	6	Individual Debtor Zip Code Extension
360	362	3	Individual Debtor Country Code
363	506	144	Filler

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Record Code 4 - Organization Secured Party File

Start Position	End Position	Length	Description
1	1	1	Record Code = 4
2	13	12	Initial Financing Statement Filing Number
14	313	300	Organization Secured Party Name
314	423	110	Organization Secured Party Street Address
424	487	64	Organization Secured Party City
488	489	2	Organization Secured Party State
490	497	8	Organization Secured Party Zip Code
498	503	6	Organization Secured Party Zip Code Extension
504	506	3	Organization Secured Party Country Code

Record Code 5 – Individual Secured Party File

Start Position	End Position	Length	Description
1	1	1	Record Code = 5
2	13	12	Initial Financing Statement Filing Number
14	63	50	Individual Secured Party Last Name
64	113	50	Individual Secured Party First Name
114	163	50	Individual Secured Party Middle Name
164	169	6	Individual Secured Party Suffix
170	279	110	Individual Secured Party Street Address
280	343	64	Individual Secured Party City
344	345	2	Individual Secured Party State
346	353	8	Individual Secured Party Zip Code
354	359	6	Individual Secured Party Zip Code Extension
360	362	3	Individual Secured Party Country Code
363	506	144	Filler

Record Code 6 – Amendment File (or any other UCC3 filing)

Start Position	End Position	Length	Description
1	1	1	Record Code = 6
2	13	12	Initial Financing Statement Filing Number
14	23	10	Filing Number of UCC3 Filing
24	25	2	Amendment Filing Type (**Appendix A**)
26	33	8	Filing Date (YYYYMMDD)
34	37	4	Filing Time (HH24MI)
38	41	4	Page Count of UCC3 Filing
42	49	8	Filing Entry Date (YYYYMMDD)
50	53	4	Filing Entry Time (HH24MI)
54	309	256	Filing History Comment
310	329	20	Document Number of UCC3 Filing
330	506	177	Filler

Record Code 7 – Collateral Data

Start Position	End Position	Length	Description
1	1	1	Record Code = 7
2	13	12	Initial Financing Statement Filing Number
14	23	10	Filing Number of UCC3 Filing
24	29	6	Collateral Line Sequence Number
30	109	80	Collateral Description
110	506	397	Filler

For bulk order of type 5 (Subscription Cancellation) there is no requirement of file generation. For bulk orders of type 6 & 7 (File layouts) the layout file is a summary of the record codes 1 through 7 described above. This file is generated just once and is available by name "UF000000.txt" in the same directory as the rest of the bulk order files.

Record Code 9 – Trailer Record

Start Position	End Position	Length	Description
1	1	1	Record Code = 9
2	13	12	Filing Number = "999999999999"
14	21	8	Count of code 1 records
22	29	8	Count of code 2 records
30	37	8	Count of code 3 records
38	45	8	Count of code 4 records
46	53	8	Count of code 5 records
54	61	8	Count of code 6 records
62	69	8	Count of code 7 records
70	79	10	Grand total of all records (Exclusive of this, code 9, trailer record)
80	89	10	Certification Date
90	506	417	Filler - Not Used

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APPENDIX A

Initial Filing Type (UCC1 Type)

UCC_TYPE_ID	UCC_TYPE
D	The code of "D" is not a Filing Type. This indicates that the record was deleted from the UCC index.
Blank	Financing Statement
A	Agricultural Lien
I	Aircraft Maintenance Lien
G	Contract Agricultural Lien
F	Federal Lien
M	Manufactured-Home Transaction
P	Public-Finance Transaction
R	Restitution Lien
T	Transition Property Notice
S	Transmitting Utility
U	Utility Security Instrument
E	Securitization Property Notice

Amendment Filing Type (UCC3 Type)

UCC_TYPE_ID	UCC_TYPE
MR	Master Record (the initial filing document, UCC1)
AM	Amendment, Master Amendment
A	Assignment, Master Assignment
CC	Change Collateral Amendment
CP	Change Party Amendment
C	Continuation
O	Correction
IS	Information Statement
J	Judicial Finding of Fact
RV	Revoked, Revoked edit
T	Termination